

# **3D Object Retrieval**

## **From Shape Description to Similarity Learning**

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[www.cba-research.com](http://www.cba-research.com)

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# *Context*

**Ceyhun Burak Akgül**

**PhD Thesis [November 2007]**

Density-Based Shape Descriptors and Similarity Learning  
for 3D Object Retrieval

Available at [www.cba-research.com](http://www.cba-research.com)

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# ***3DOR: Applications***



*Video Games*



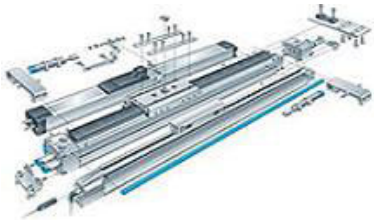
*Medicine*



*Product Design*

**3D data and objects are everywhere!**

*Engineering*



*Car Industry*



*Cultural Heritage*



# ***3DOR: Applications***



*Video Games*



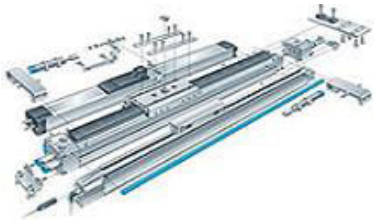
*Medicine*



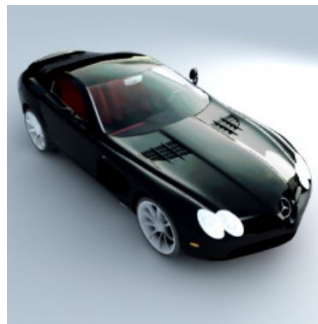
*Product Design*

**How to organize a 3D database?  
How to look for an item in a 3D database?**

*Engineering*



*Car Industry*



*Cultural Heritage*



# 3DOR: Why?

Text-based search and manual annotation have limitations:



= “amphora with two handles”



= ?

How many items can one annotate unambiguously?

# 3DOR: Why?

Text-based search and manual annotation have limitations:

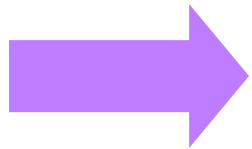


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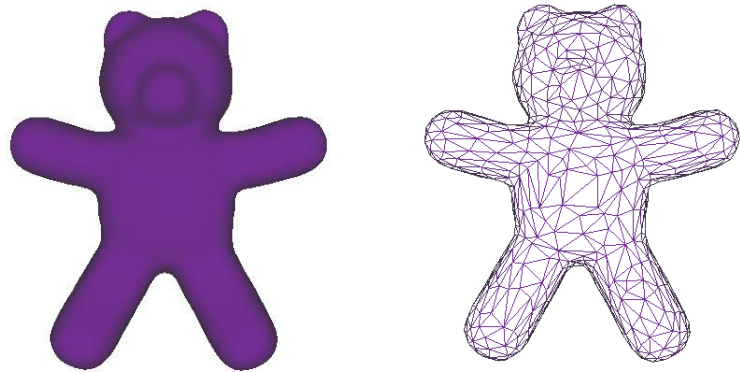
= ?

How many items can one annotate unambiguously?



Search and retrieve by content similarity

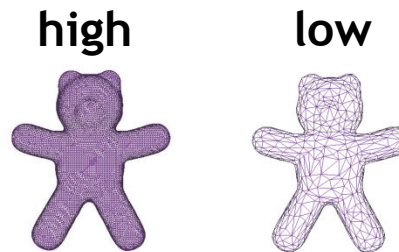
# 3DOR: What is a 3D object?



3D Object = A Mesh in 3D

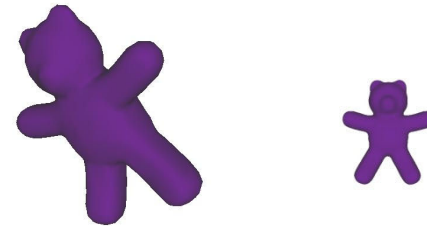
- ✓ Completely given in 3D space
- ✓ No perspective distortion
- ✓ No clutter, no occlusion
- ✓ Single object in an empty background

- Arbitrary resolution

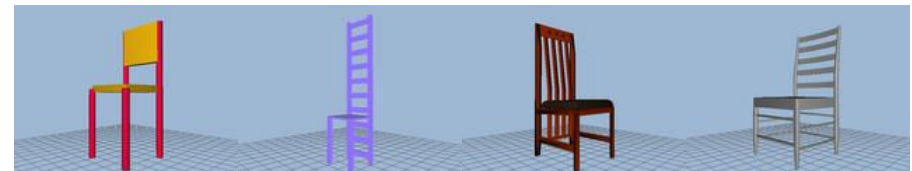


**BUT!**

- Arbitrary pose and scale



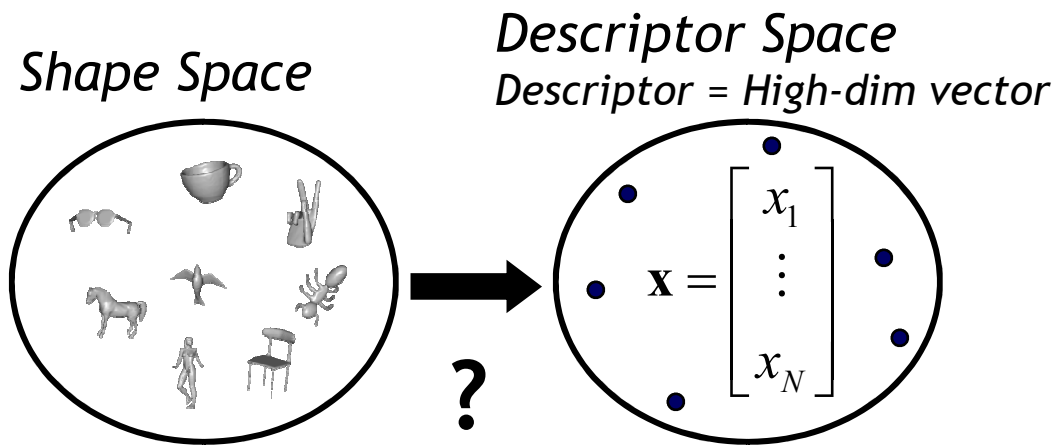
- High variability



Add to these small shape variations which don't alter semantics<sup>7</sup>

# 3DOR: Main Research Problems

## 1 Content Description for 3D Shapes



- *Intrinsic shape characteristics*
- *Robustness*
- *Fast computation*
- *Low storage cost*
- *Geometric invariance*
- *High discrimination ability*

## 2 Similarity Learning for CBR



- *Similarity models for retrieval*
- *Statistical learning*
  - *Optimization criteria*
- *Limited supervision*
  - *Small training set*
- *Search paradigms*
  - *Relevance feedback*



# Shape Description

# 3DOR: Shape Description

## 3D Shape Descriptors

### Histogram-Based

- Cord and Angle Hist (CAH)
- Shape Distributions (SD)
- Generalized Shape Distr. (GSD)
- Shape Histograms (SECSHELL)
- Extended Gaussian Images (EGI)
- Shape Spectrum Desc. (SSD)
- 3D Hough Transform (3DHT)

### Transform-Based

- VOXEL-3DFT
- Radial Cosine Transform
- Angular Radial (ART)
- Spherical Harmonics (SH)
  - Rotation Inv. SH (RiSH)
  - REXT SH
- Spherical Wavelet (SW)
- Concrete Radialized Spherical Projection (CRSP)

### 2D “Image”-Based

- Light Field Images (LFD)
- Depth Buffer Images (DBI)
- Silhouette (SIL)

### Others

- Graph-Based
  - Reeb Graphs
- Moments-Based
  - Zernike Moments
- Spin Images
- Symmetry Descriptors

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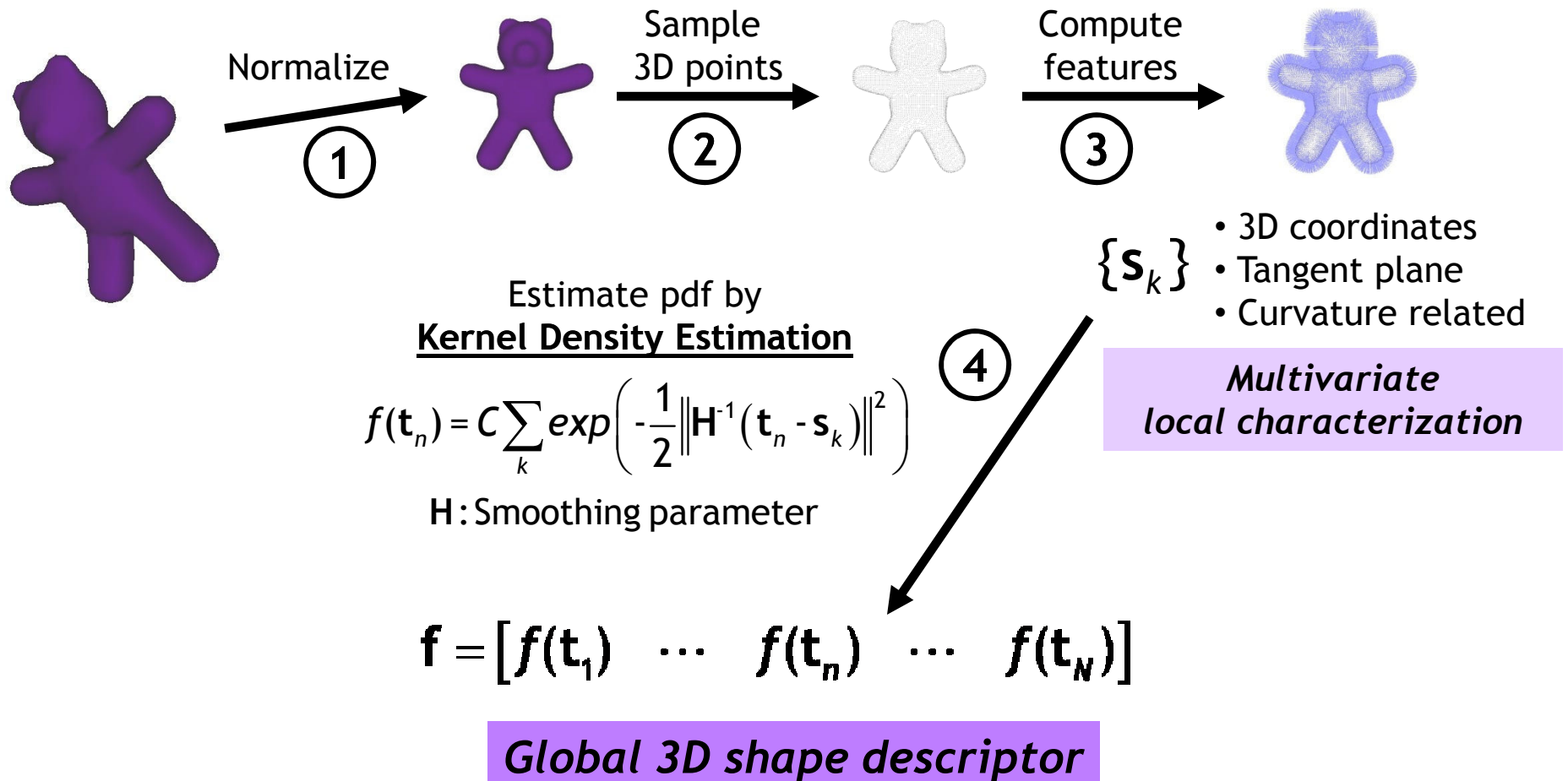


## Density-Based Framework (DBF)

- *Unifying framework*
- *A multivariate extension*
- *Kernel Density Estimation (KDE) = Parzen windows*
  - smoother than histogram
  - less sensitive to feature measurement errors than histogram

# 3DOR: Shape Description

## DBF: Overview



# ***3DOR: Shape Description***

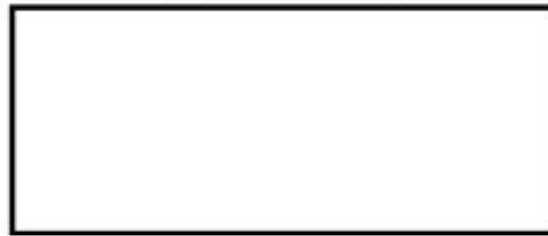
## **DBF: Robustness**

- ✓ Insensitive to small shape variations & errors



*3D Surface*

*Feature Space*



# 3DOR: Shape Description

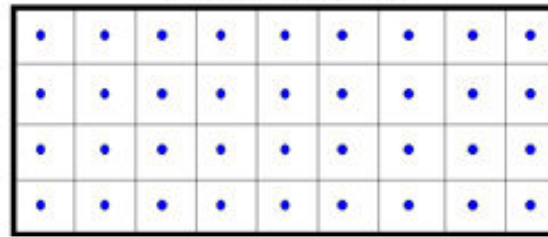
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✓ Insensitive to small shape variations & errors



3D Surface

Feature Space

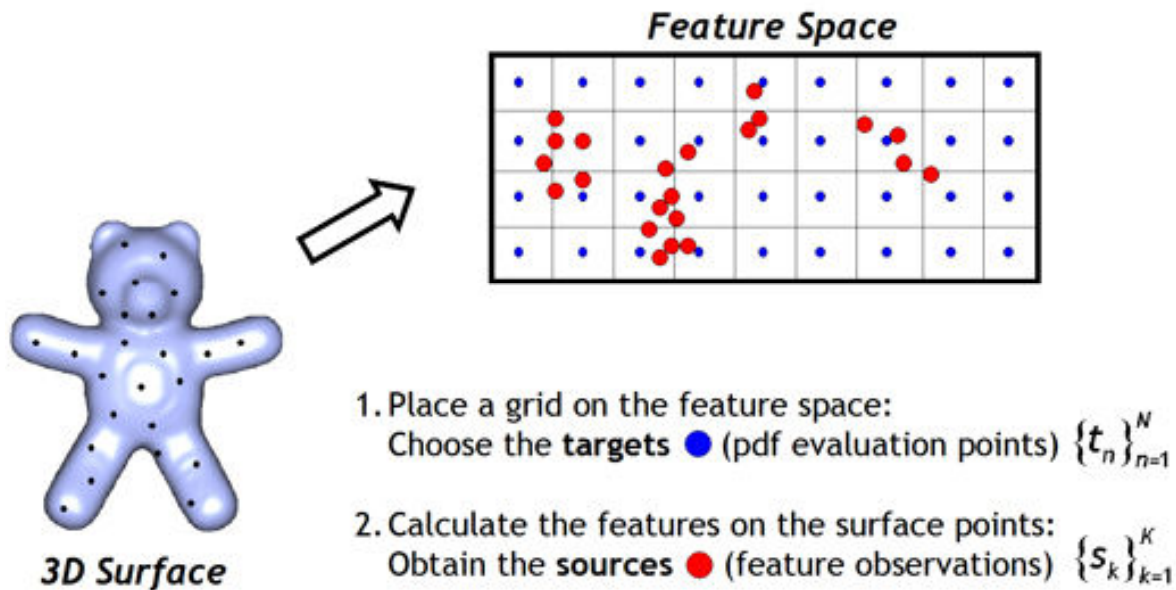


1. Place a grid on the feature space:  
Choose the **targets** ● (pdf evaluation points)  $\{t_n\}_{n=1}^N$

# 3DOR: Shape Description

## DBF: Robustness

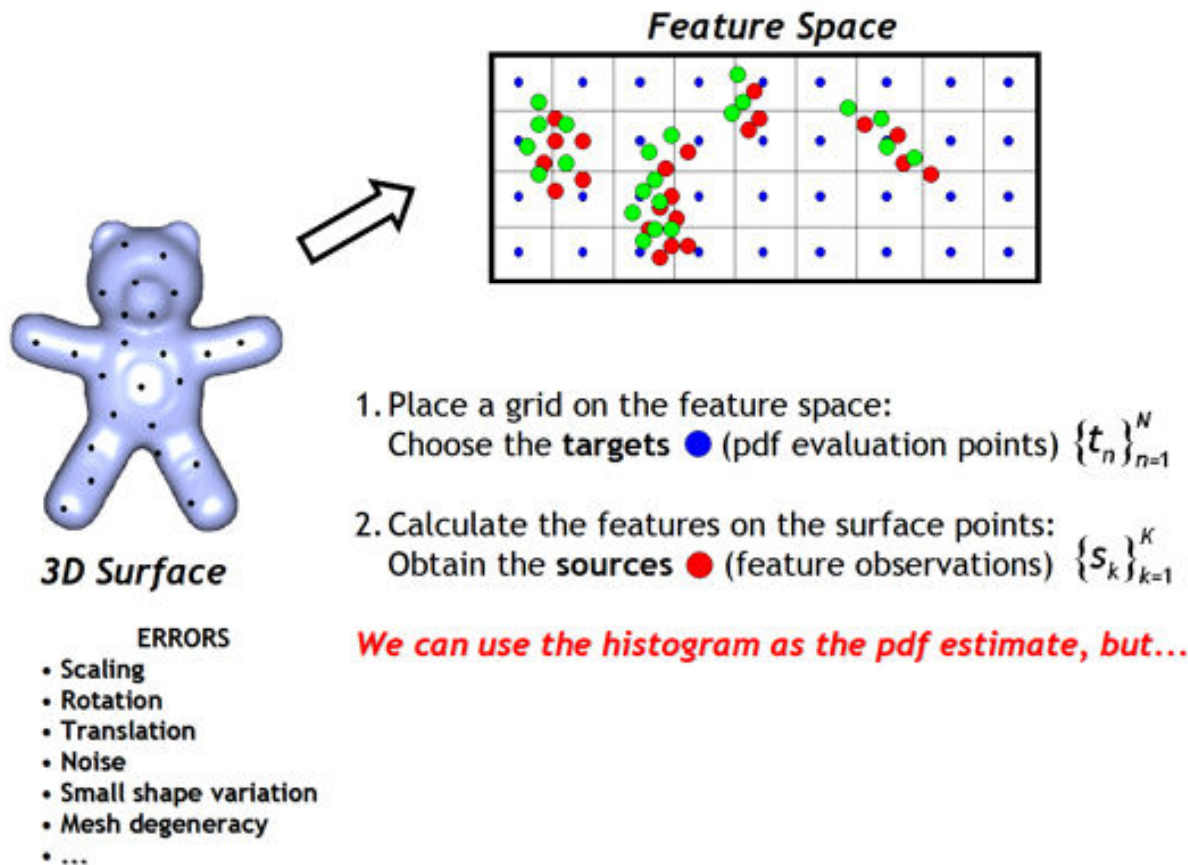
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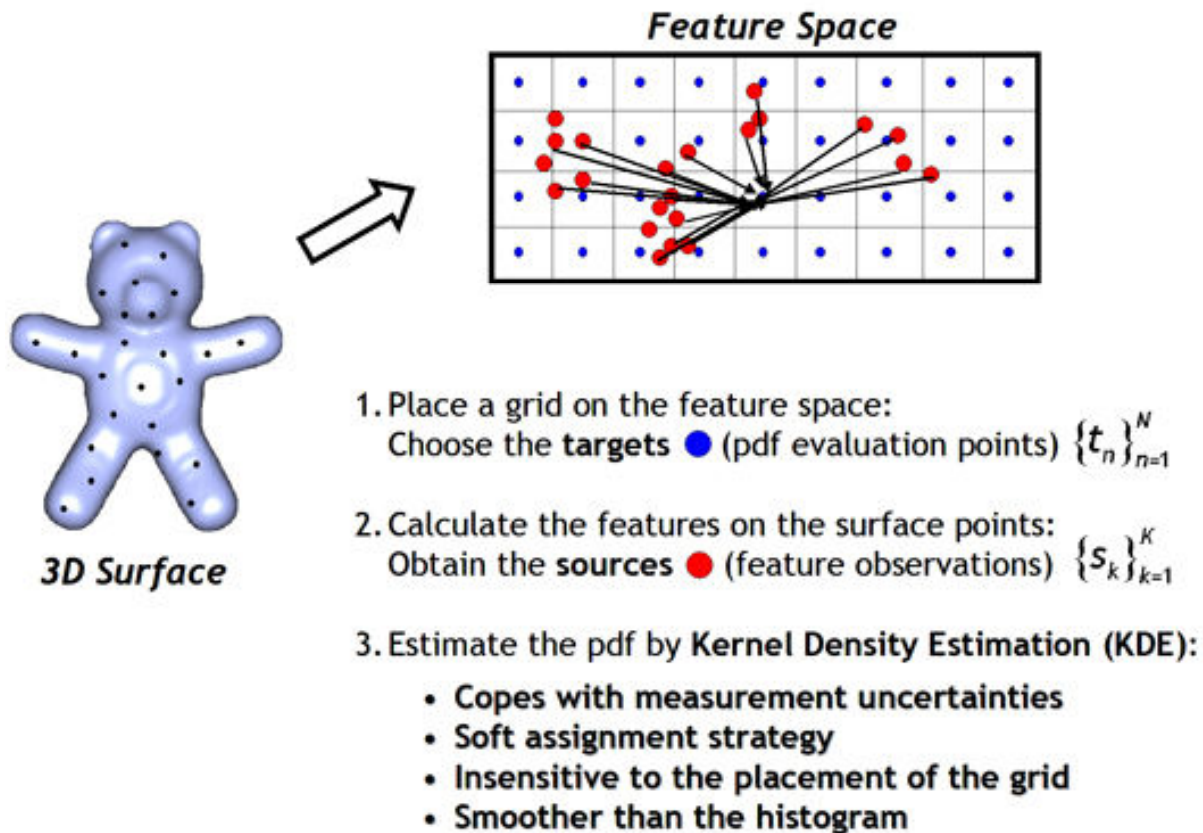




# 3DOR: Shape Description

## DBF: Robustness

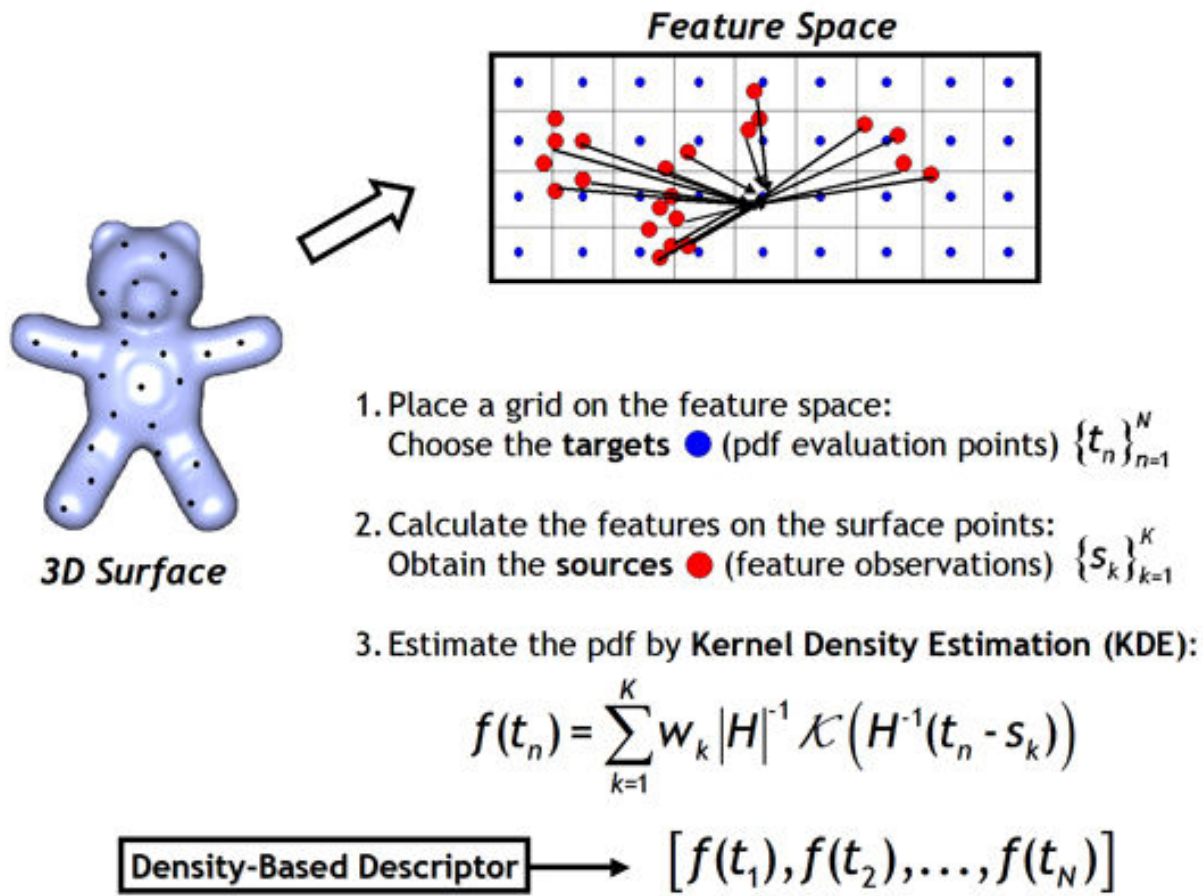
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# 3DOR: Shape Description

## DBF: Robustness

✓ Insensitive to small shape variations & errors



# 3DOR: Shape Description

## DBF: Descriptor Computation

✓ **Fast computation:** less than 1 sec per 3D object on average

$$f(t_n) = \sum_{k=1}^K w_k |H|^{-1} \mathcal{K} (H^{-1}(t_n - s_k))$$

- Direct Evaluation  $\rightarrow O(KN)$
- When **the kernel  $\mathcal{K}$  is Gaussian**  $\rightarrow O(K + N)$   
**Fast Gauss Transform (FGT)**  
[Greengard and Strain, 1991; Yang et al., 2003]

**Example:**  $K = 130000$  and  $N = 1024$

- **Direct**  $\rightarrow 125$  secs
- **FGT**  $\rightarrow 2.5$  secs

# ***3DOR: Shape Description***

## **DBF: Geometric Invariance**

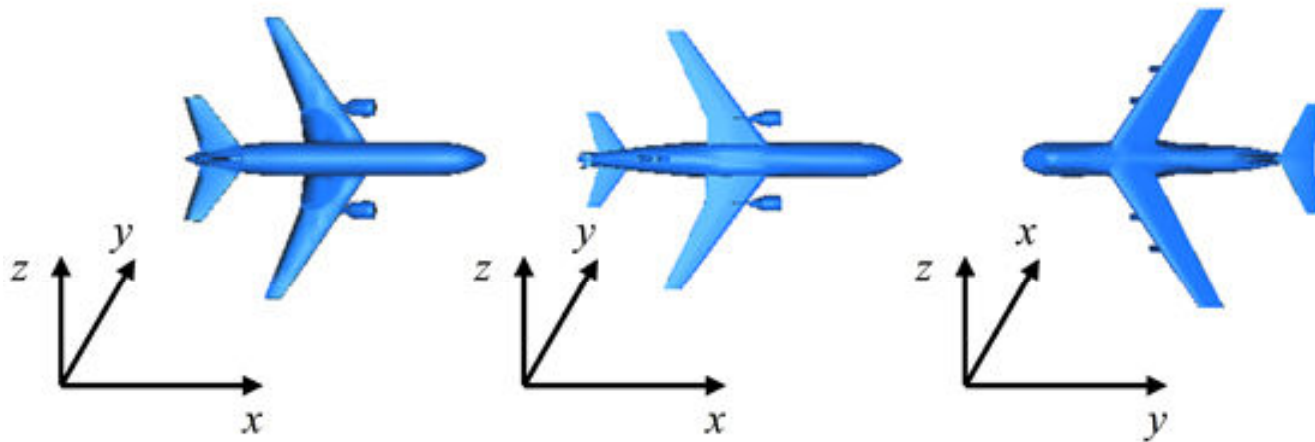
### **✓ Correspondence-free shape alignment**

- 1. Invariance by feature design:** might lose shape information
- 2. Invariance by pre-normalization:** not always stable
- 3. Invariance at matching**
  - Evaluate the similarity under all possible transformations and take the minimum
  - ✗ Costly if descriptor should be computed for every possible transformation

# 3DOR: Shape Description

## DBF: Geometric Invariance

✓ Correspondence-free shape alignment



$3! = 6$  axis relabelings  
 $2^3 = 8$  polarity assignments  
 $\Rightarrow 6 \times 8 = 48$  axis configurations

The complexity of invariant matching is 48 times the complexity of vector-to-vector distance computation.

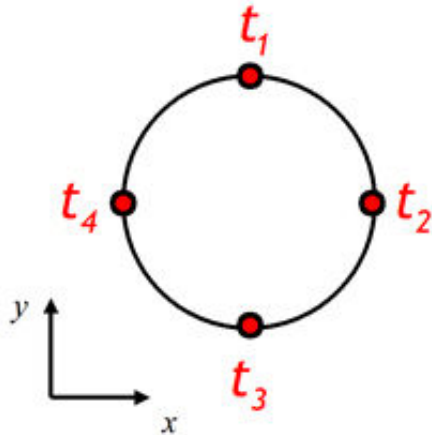
# 3DOR: Shape Description

## DBF: Geometric Invariance

### ✓ Correspondence-free shape alignment

In DBF, for certain class of transformations,  
there is no need to recompute the descriptor:

→ Just permute the vector entries!



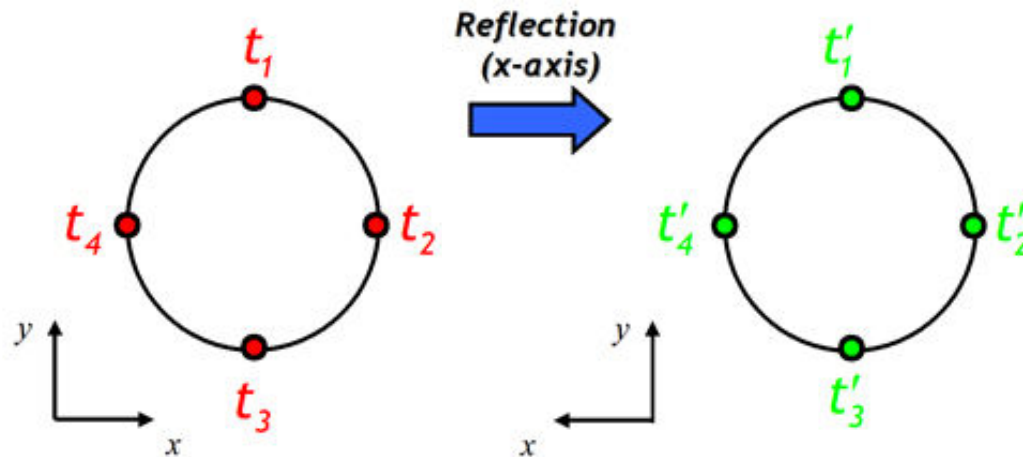
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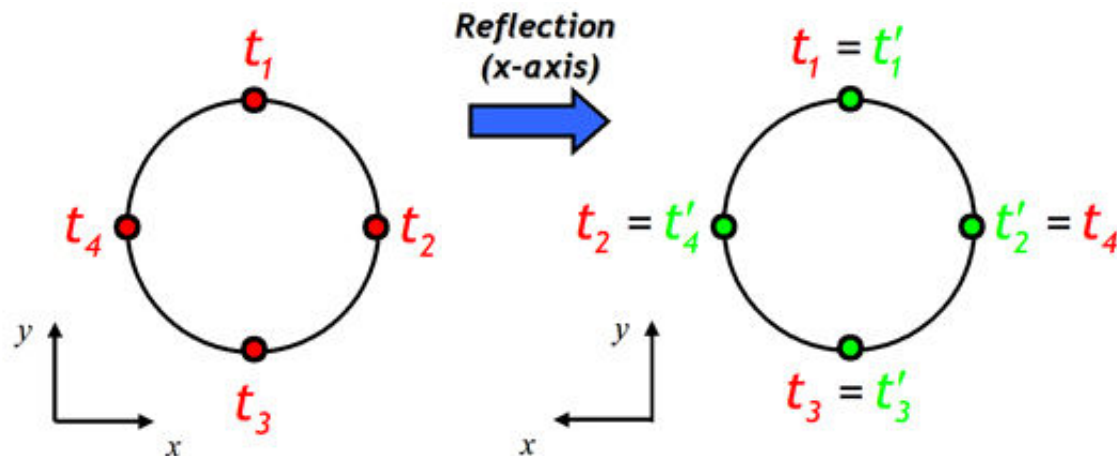
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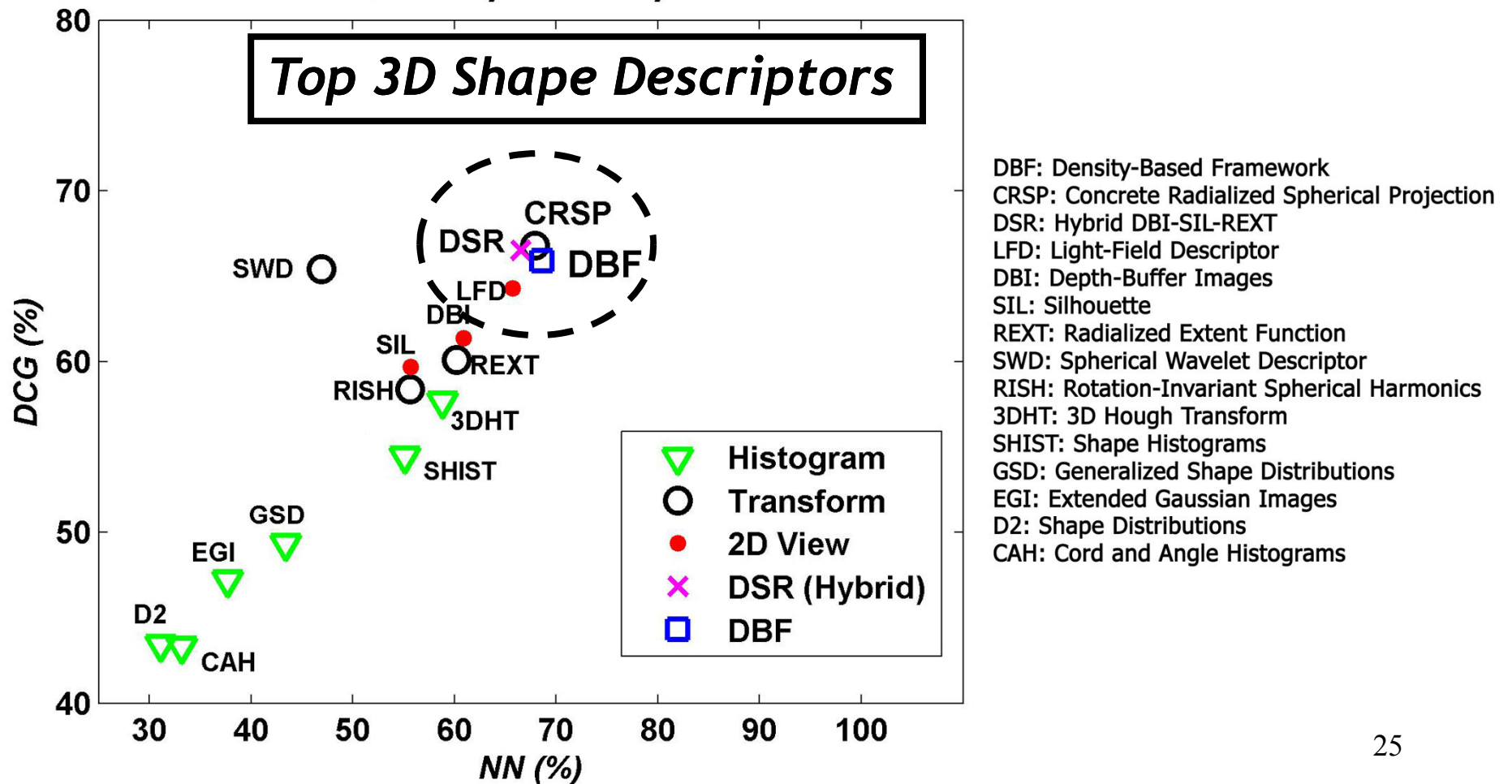
The target set should be closed under the action of the transformation



# 3DOR: Shape Description

## DBF: Retrieval Performance

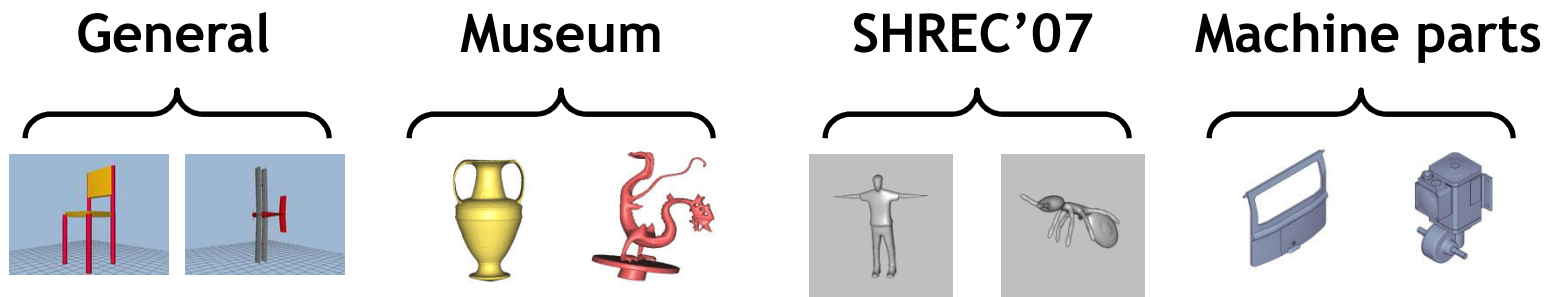
✓ Highly discriminative



# 3DOR: Shape Description

## DBF: Retrieval Performance

✓ Highly discriminative



	Princeton	Sculpteur	Watertight	ESB
DBF	65.9%	<b>78.3%</b>	<b>86.7%</b>	<b>75.7%</b>
DSR	<b>66.5%</b>	76.6%	83.2%	74.1%

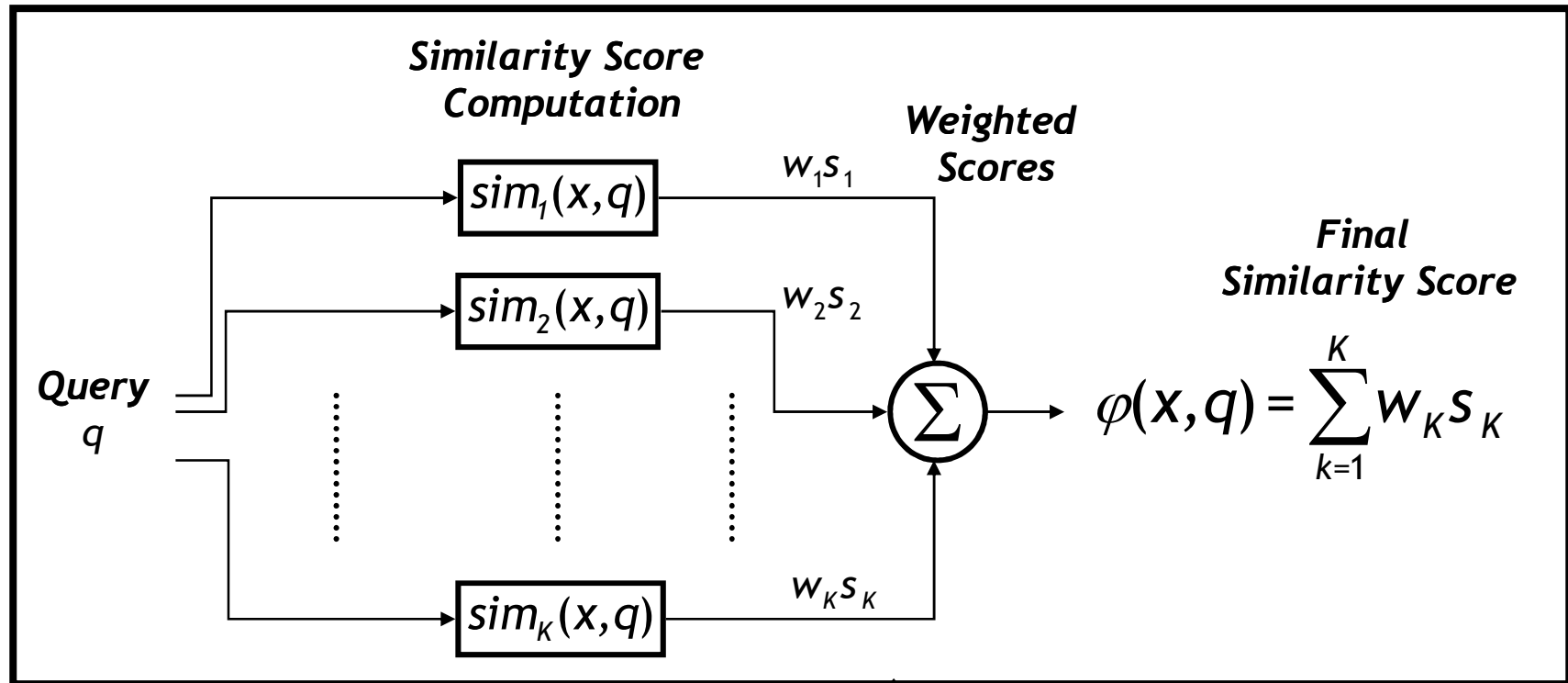
↳ DSR: Hybrid descriptor proposed in D. Vranic's PhD Thesis, 2004.

NOTE: Displayed are % DCG values, one of the most popular retrieval statistics.<sup>26</sup>

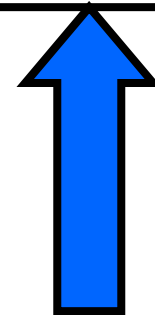
# Similarity Learning

# 3DOR: Similarity Learning

## Score Fusion: Overview



$\{s_k\}_{k=1}^K$  **Similarity scores  
from different  
descriptors !**



$\{w_k\}_{k=1}^K$  **Weights?**

# ***3DOR: Similarity Learning***

## **Score Fusion: Contributions**

- ✓ **Linear similarity model:** intuitively appealing
- ✓ **Original: Ranking Risk Minimization**
  - no prior work in visual retrieval domain
- ✓ **Flexible:** can be applied to broader CBR domains
- ✓ **Fast computation and convergence**
- ✓ **~10% performance increase using relevance feedback**

# 3DOR: Similarity Learning

## Score Fusion: Approach

### Estimation of the optimal set of weights

1. What kind of criterion to optimize?

- ❑ Empirical Ranking Risk (ERR)

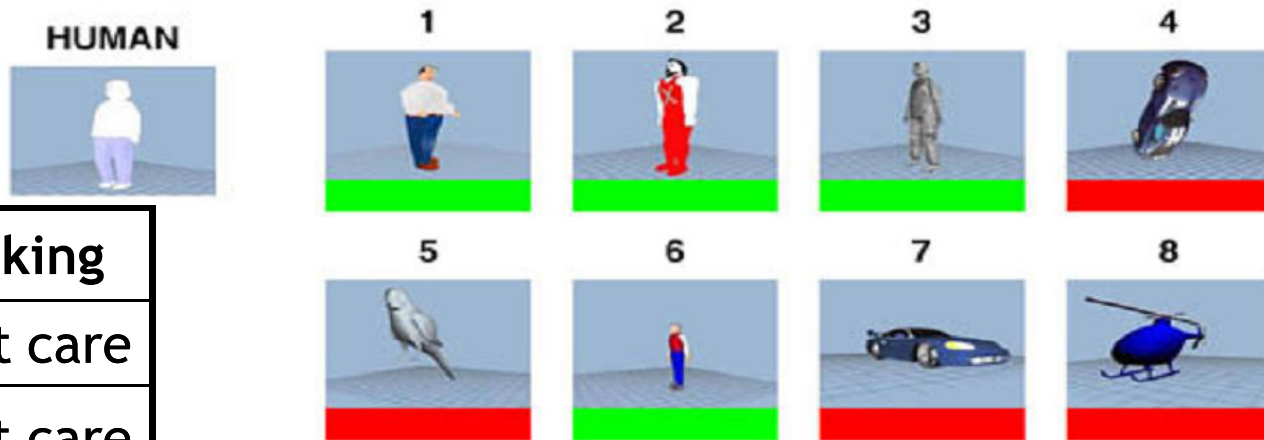
- ❖ Given a query, *relevant* entities should be ranked higher than *less relevant* and/or *not-relevant* ones
- ❖ ERR is the **count of misordered pairs of entities**

2. How to incorporate supervision?

- ❑ Ontology-driven search
- ❑ Relevance feedback

# 3DOR: Similarity Learning

## Score Fusion: Empirical Ranking Risk



Pairs	Ranking
(1,2)	Don't care
(1,3)	Don't care
(1,4)	✓
...	
(4,6)	✗
...	
(5,6)	✗
...	

Number of misrankings = 2

### *Desideratum*

*Minimize the number of such errors  
to learn the optimal set of weights*

# 3DOR: Similarity Learning

## Score Fusion: Ranking Risk Minimization

**Similarity function**  $\varphi(x, q) = \sum_k w_k s_k = \langle \mathbf{w}, \mathbf{s} \rangle$  should satisfy :

$$\begin{aligned} \varphi(x, q) &> \varphi(x', q) && \text{if } x \text{ is more relevant to } q \text{ than } x', \\ \varphi(x, q) &< \varphi(x', q) && \text{otherwise.} \end{aligned}$$

Let  $y$  encode the relevance of  $x$  to  $q$  :

$$y = \begin{cases} +1 & \text{if } x \text{ is relevant to } q, \\ -1 & \text{if not.} \end{cases}$$

Then, we can write :

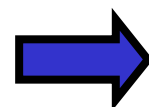
$$\begin{aligned} \langle \mathbf{w}, \mathbf{s} \rangle &> \langle \mathbf{w}, \mathbf{s}' \rangle && \text{if } y - y' > 0, \\ \langle \mathbf{w}, \mathbf{s} \rangle &< \langle \mathbf{w}, \mathbf{s}' \rangle && \text{if } y - y' < 0. \end{aligned} \quad \Rightarrow \quad \begin{aligned} \langle \mathbf{w}, \mathbf{s} - \mathbf{s}' \rangle &> 0 && \text{if } y - y' > 0, \\ \langle \mathbf{w}, \mathbf{s} - \mathbf{s}' \rangle &< 0 && \text{if } y - y' \leq 0. \end{aligned}$$



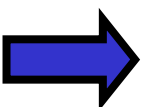
# 3DOR: Similarity Learning

## Score Fusion: Ranking Risk Minimization

Let  $z \triangleq \text{sign}(y - y')$  and  $v \triangleq s - s'$ , then:



$$\begin{aligned} \langle w, s - s' \rangle &> 0 && \text{if } y - y' > 0, \\ \langle w, s - s' \rangle &< 0 && \text{if } y - y' < 0. \end{aligned}$$



$$\begin{aligned} \langle w, v \rangle &> 0 && \text{if } z = +1, \\ \langle w, v \rangle &< 0 && \text{if } z = -1. \end{aligned}$$

*Binary  
classification*

- ❖ The original problem is transformed into one of **binary classification**
- Any binary classifier can be used.
- ❖ Use **Support Vector Machines (SVM)**

# ***3DOR: Similarity Learning***

## **Score Fusion: Retrieval Protocols**

### **1. Bimodal**

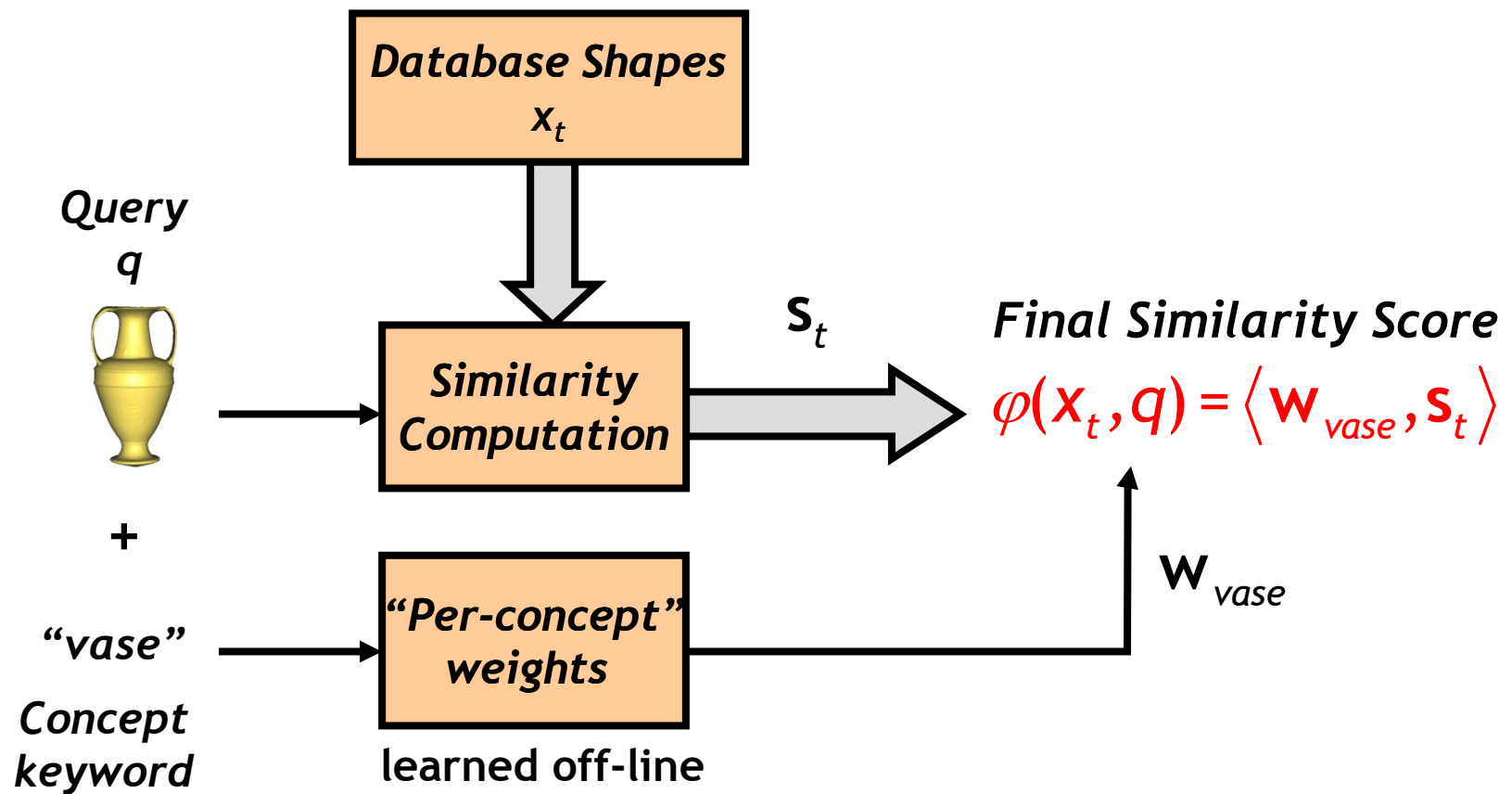
- ❖ Query: shape + concept keyword
- ❖ *Offline* learning of **concept-specific** weights
- ❖ Concepts  $\leftrightarrow$  Ontologies

### **2. Two-Round**

- ❖ User is active: Relevance feedback
- ❖ *Online* or *offline* learning of **query-specific** weights

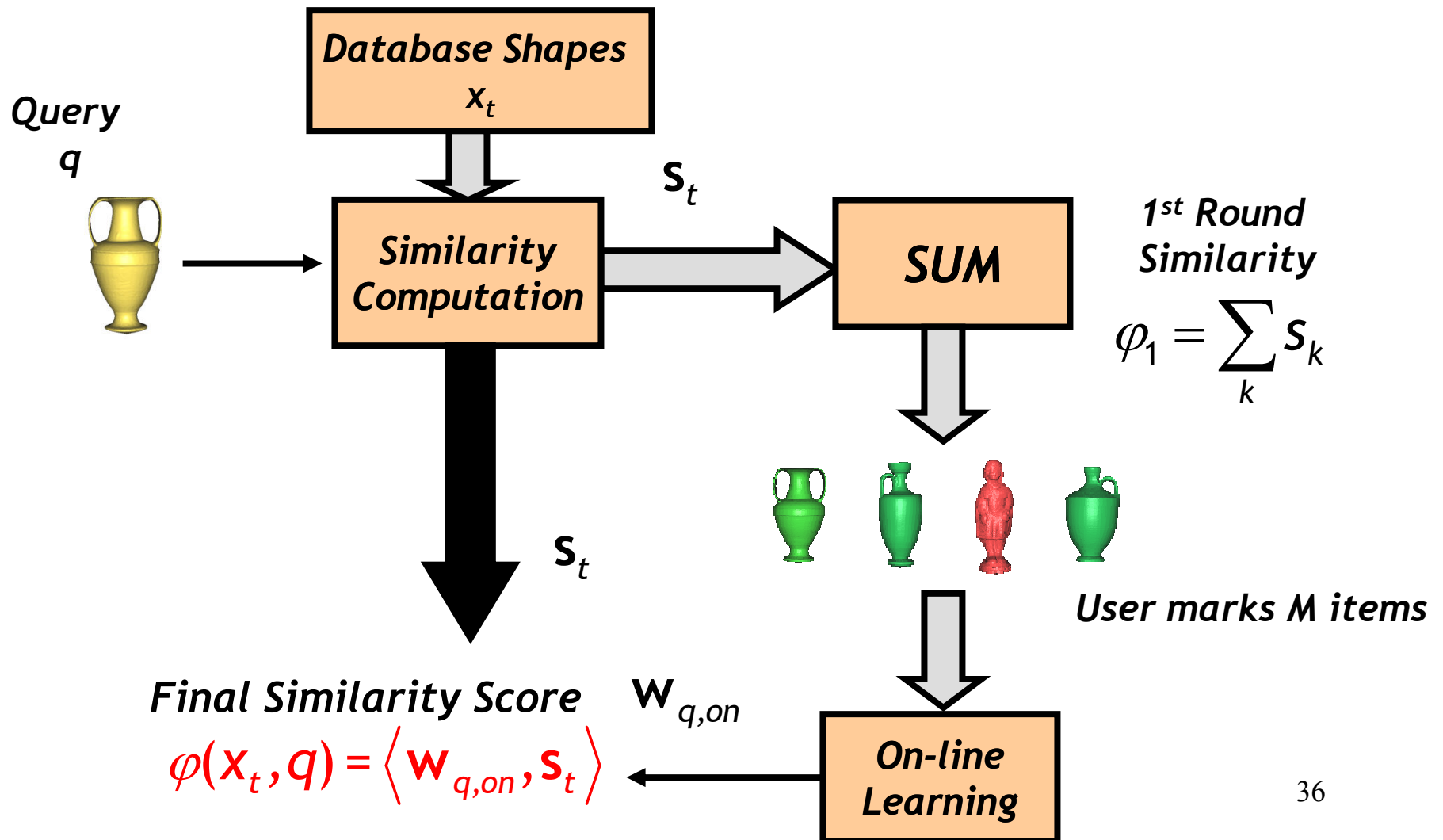
# 3DOR: Similarity Learning

## Retrieval Protocols: Bimodal



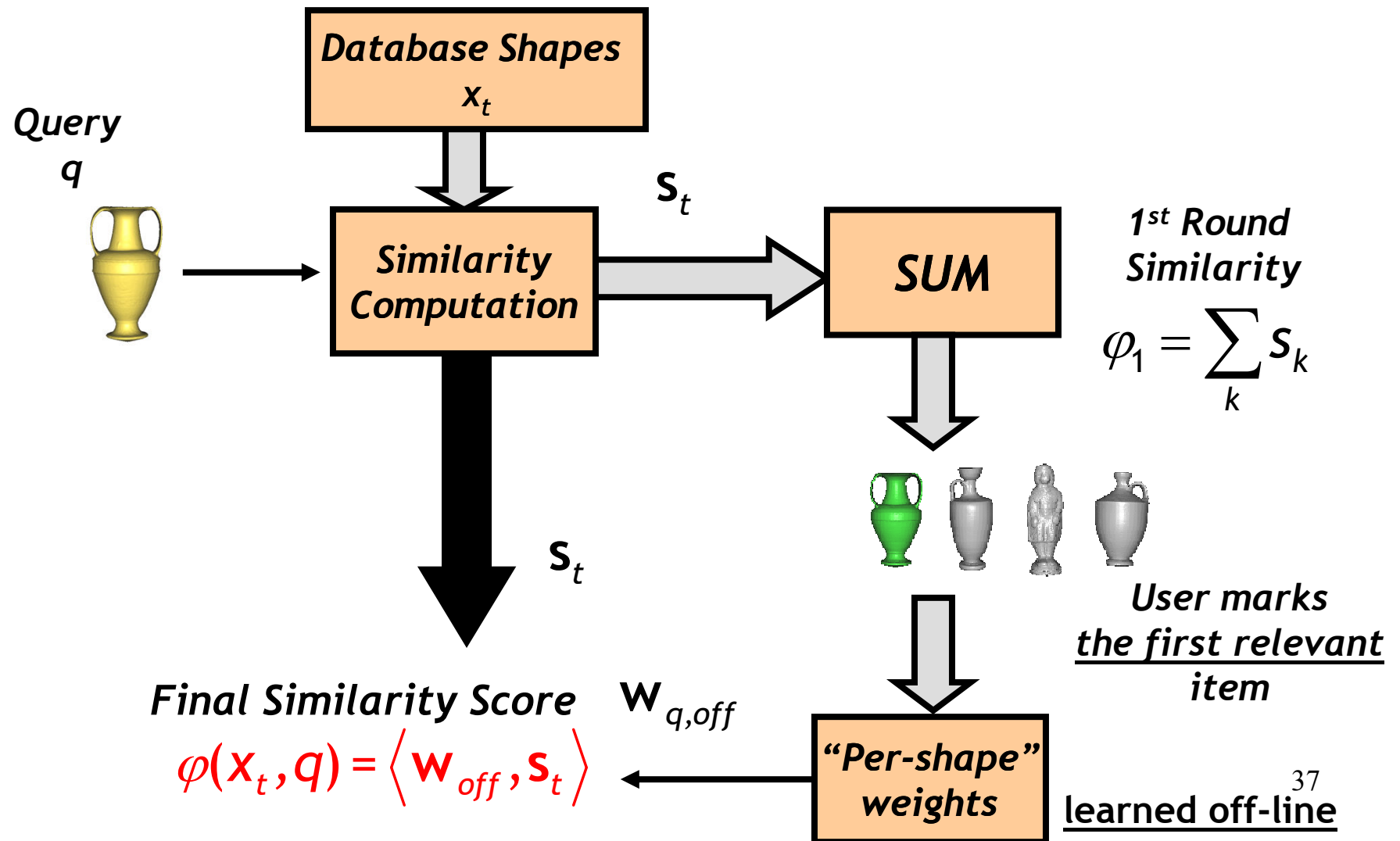
# 3DOR: Similarity Learning

## Retrieval Protocols: Two-Round On-line



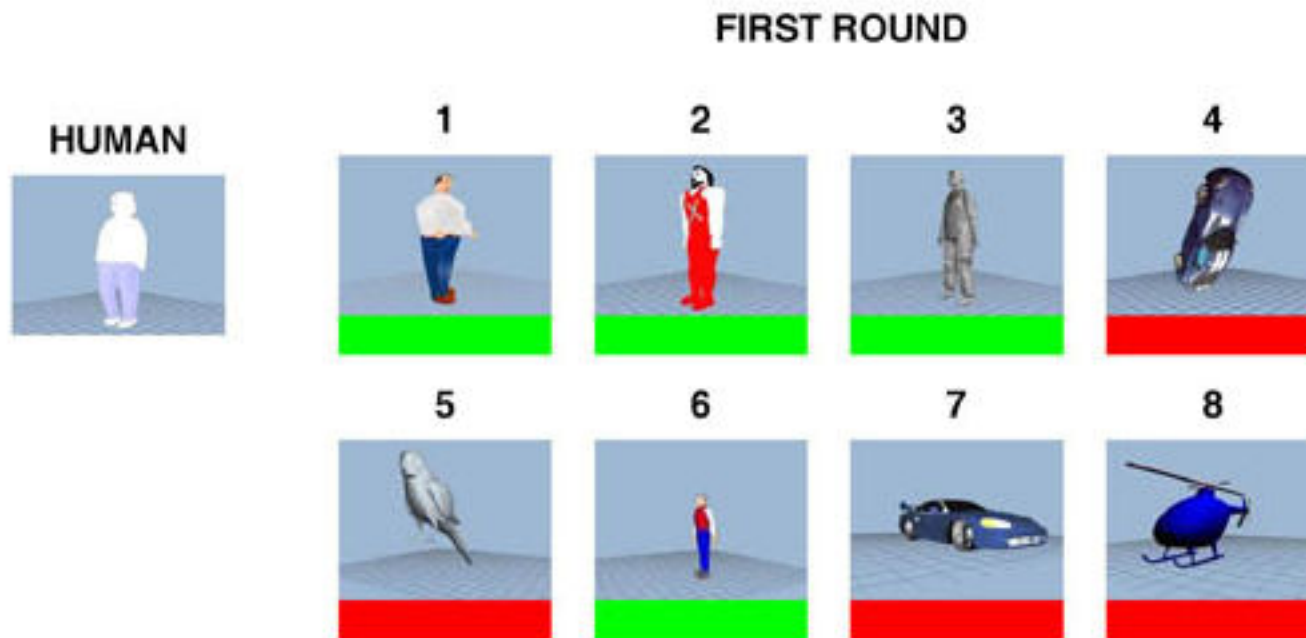
# 3DOR: Similarity Learning

## Retrieval Protocols: Two-Round Off-line



# 3DOR: Similarity Learning

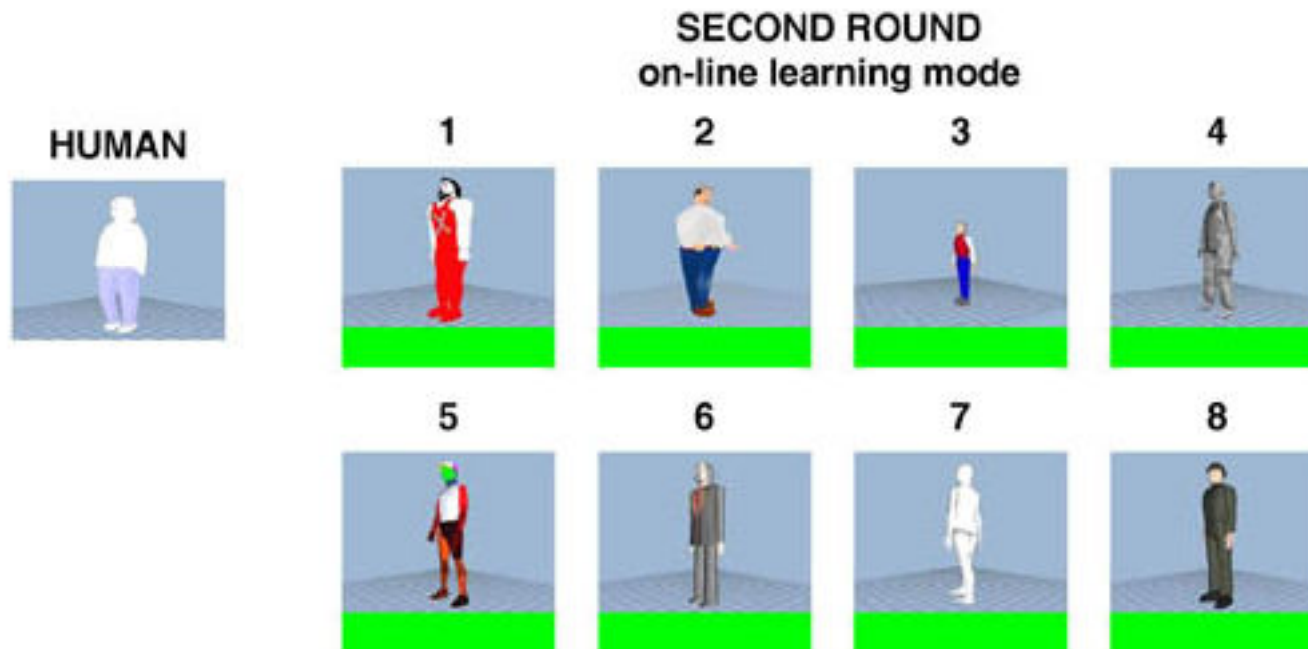
## Two-Round On-line Example: “Human”



User marks 4 **relevant** and 4 **non-relevant** models among the first 8

# 3DOR: Similarity Learning

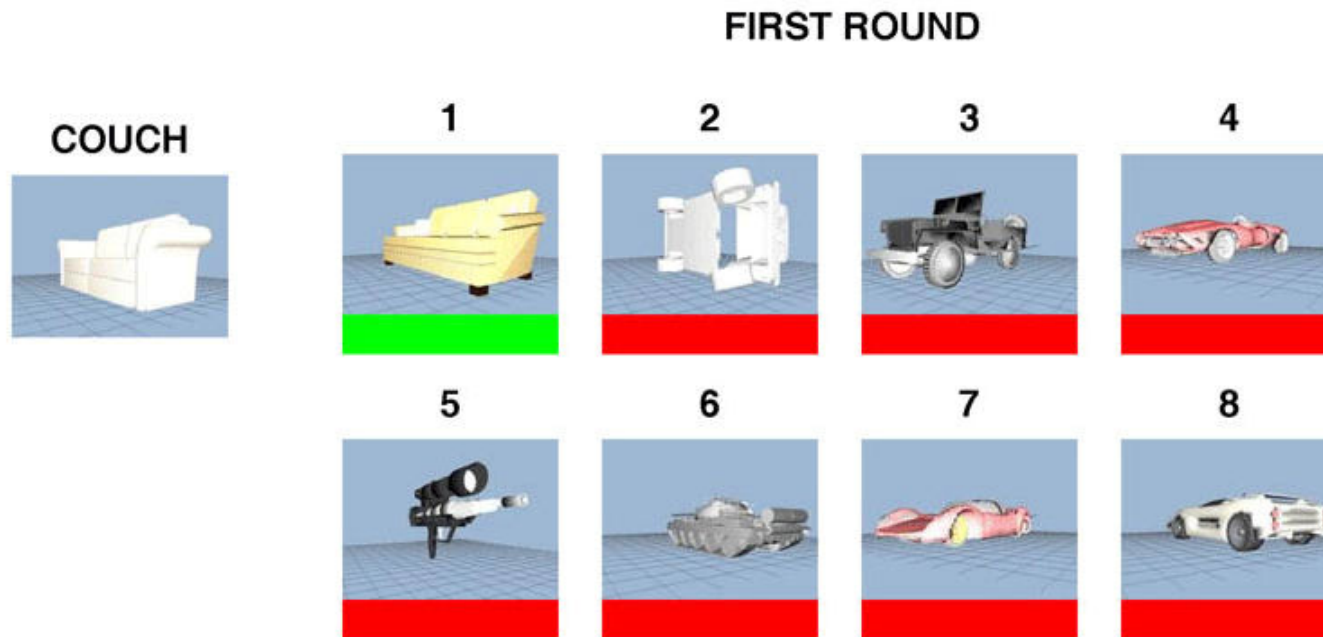
## Two-Round On-line Example: “Human”



*All the retrieved models are relevant after the second round*

# 3DOR: Similarity Learning

## Two-Round On-line Example: “Couch”

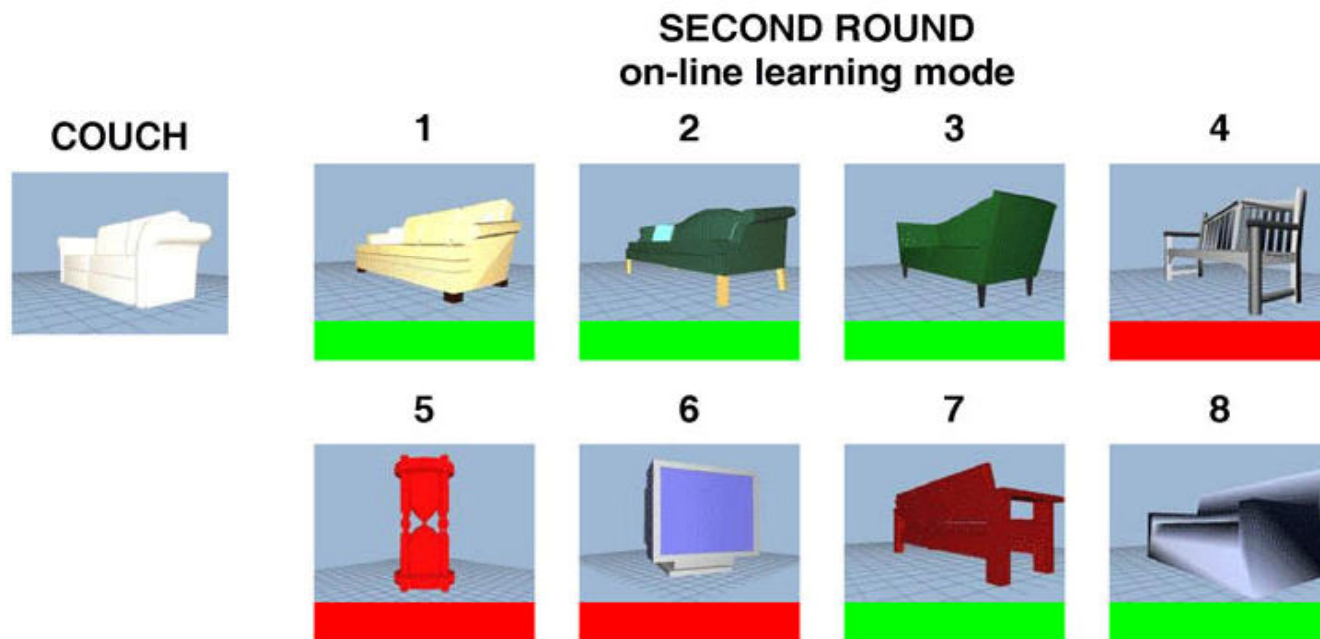


User marks 1 **relevant** and 7 **non-relevant** models among the first 8



# 3DOR: *Similarity Learning*

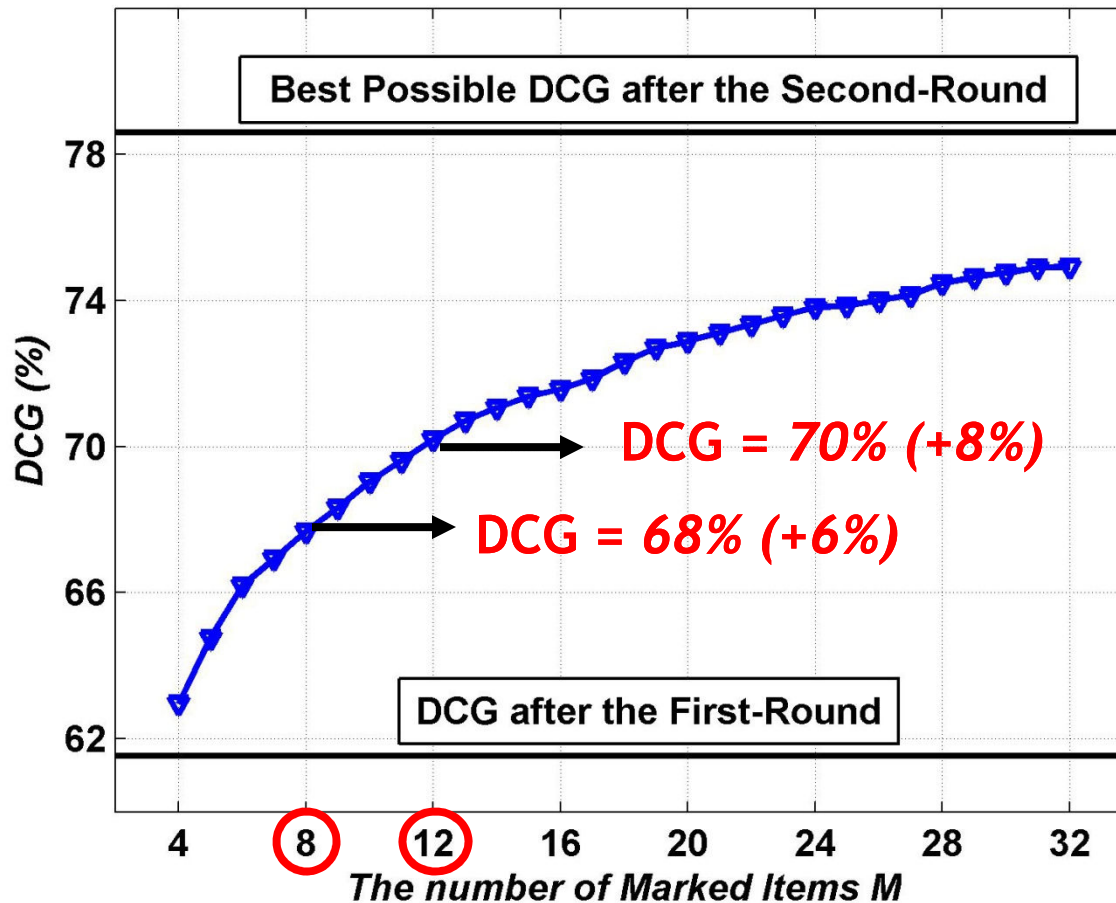
## Two-Round On-line Example: “Couch”



*5 models are **relevant** after the second round*

# 3DOR: Similarity Learning

## Two-Round On-line: Convergence



# 3DOR: Similarity Learning

## Score Fusion: Performance Summary

### Additive DCG Gain in all Protocols (%)

Two-Round On-line (M=8)	Two-Round On-line (M=12)	Two-Round Offline	Bimodal
6.0	8.0	5.0	2.0-4.0

### Reminder

Performance of top descriptors differ only by 1-2 %.

### Observation

Bimodal: Harder task than Two-Round

# References

## 3D SHAPE DESCRIPTION

C. B. Akgül, B. Sankur, Y. Yemez, F. Schmitt.

**3D Model Retrieval using Probability Density Based Shape Descriptors.**

IEEE Trans on Pattern Analysis and Machine Intelligence, June 2009.

## SIMILARITY LEARNING

C. B. Akgül, B. Sankur, Y. Yemez, F. Schmitt.

**Similarity Learning for 3D Object Retrieval using Relevance Feedback and Risk Minimization.**

Int. Journal of Computer Vision, Special Issue on 3D Object Retrieval, to appear, 2010.